# Krull Dimension 

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## Zariski spectrum

Any element of the Zariski lattice is of the form $D\left(a_{1}, \ldots, a_{n}\right)=D\left(a_{1}\right) \vee$ $\cdots \vee D\left(a_{n}\right)$. We have seen that $D(a, b)=D(a+b)$ if $D(a b)=0$

In general we cannot write $D\left(a_{1}, \ldots, a_{n}\right)$ as $D(a)$ for one element $a$
We can ask: what is the least number $m$ such that any element of $\operatorname{Zar}(R)$ can be written on the form $D\left(a_{1}, \ldots, a_{m}\right)$. An answer is given by the following version of Kronecker's Theorem: this holds if $\mathrm{Kdim} R<m$

## Krull dimension of a ring

The Krull dimension of a ring is defined to be the maximal length of proper chain of prime ideals.

In fact, one can give a purely algebraic definition of the Krull dimension of a ring

Inductive definition of dimension of spectral spaces/distributive lattice: Kdim $X \leqslant n$ iff for any compact open $U$ we have $\operatorname{Kdim} B d(U)<n$ (cf. Menger-Urysohn definition of dimension)

To be zero-dimensional is to be a Boolean lattice

## Krull dimension of a lattice

If $L$ is a lattice, we say that $u_{1}, \ldots, u_{n}$ and $v_{1}, \ldots, v_{n}$ are ( $n$-)complementary iff

$$
u_{1} \vee v_{1}=1, u_{1} \wedge v_{1} \leqslant u_{2} \vee v_{2}, \ldots, u_{n-1} \wedge v_{n-1} \leqslant u_{n} \vee v_{n}, u_{n} \wedge v_{n}=0
$$

For $n=1$ : we get that $u_{1}$ and $v_{1}$ are complement
Proposition: Kdim $L<n$ iff any $n$-sequence of elements has a complementary sequence

## Krull dimension of a lattice

What is important here is the logical complexity
Distributive lattice: equational theory
The notion of complementary sequence is a (first-order) coherent notion

## Complementary sequence

If $a_{1}, a_{2}$ and $b_{1}, a_{2}$ have a complementary sequence then so has $a_{1} \vee b_{1}, a_{2}$ and $a_{1} \wedge b_{1}, a_{2}$

If $a_{1}, a_{2}$ and $a_{1}, b_{2}$ have a complementary sequence then so has $a_{1}, a_{2} \vee b_{2}$ and $a_{1}, a_{2} \wedge b_{2}$

In this way to ensure the existence of complementary sequence it is enough to look only at elements in a generating subset of the lattice

## Krull dimension of a ring

$\mathrm{K} \operatorname{dim} R<n$ is defined as $\operatorname{Kdim}(\operatorname{Zar}(R))<n$
Proposition: Kdim $R<n$ iff for any sequence $a_{1}, \ldots, a_{n}$ in $R$ there exists a sequence $b_{1}, \ldots, b_{n}$ in $R$ such that, in $\operatorname{Zar}(R)$, we have

$$
D\left(a_{1}, b_{1}\right)=1, D\left(a_{1} b_{1}\right) \leqslant D\left(a_{2}, b_{2}\right), \ldots, D\left(a_{n-1} b_{n-1}\right) \leqslant D\left(a_{n}, b_{n}\right), D\left(a_{n} b_{n}\right)=0
$$

This is a first-order condition in the multi-sorted language of rings and lattices

## Example: Kronecker's theorem

Kronecker in section 10 of
Grundzüge einer arithmetischen Theorie der algebraischen Grössen.
$J$ J reine angew. Math. 92, 1-123 (1882)
proves a theorem which is now stated in the following way
An algebraic variety in $\mathbb{C}^{n}$ is the intersection of $n+1$ hypersurfaces

## Kronecker's Theorem

Theorem: If $\mathrm{Kdim} R<n$ then for any $b_{0}, b_{1}, \ldots, b_{n}$ there exist $a_{1}, \ldots, a_{n}$ such that $D\left(b_{0}, \ldots, b_{n}\right)=D\left(a_{1}, \ldots, a_{n}\right)$

This is a (non Noetherian) generalisation of Kronecker's Theorem
For each fixed $n$ this is a first-order tautology. So, by the completeness Theorem for first-order logic, it has a first-order proof

It says that if $\operatorname{Kdim} R<n$ then we can write any elements of the Zariski lattice on the form $D\left(a_{1}, \ldots, a_{n}\right)$

## Kronecker's Theorem

In particular if $R$ is a polynomial ring $k\left[X_{1}, \ldots, X_{m}\right]$ with $m<n$ then this says that given $n+1$ polynomials we can find $n$ polynomials that have the same set of zeros in an arbitrary algebraic closure of $k$

## Kronecker's Theorem

This concrete proof/algorithm, is extracted from R. Heitmann "Generating non-Noetherian modules efficiently" Michigan Math. J. 31 (1984), 167-180

Though seeemingly unfeasible (use of prime ideals, topological arguments on the Zariski spectrum) this paper contains implicitely a clever and simple algorithm which can be instantiated for polynomial rings

## Kronecker's Theorem

Kronecker's Theorem is direct from the existence of complementary sequence
Lemma: If $X, Y$ are complementary sequence then for any element $a$ we have $D(a, X)=D(X-a Y)$

Since we have $D(a, X-a Y)=D(a, X)$ it is enough to show $D(a) \leqslant$ $D(X-a Y)$

$$
\begin{aligned}
& D\left(x_{1}-a y_{1}, x_{2}-a y_{2}\right)=D\left(x_{1}-a y_{1}, x_{2}, a y_{2}\right) \text { since } D\left(x_{2} y_{2}\right)=0 \\
& D\left(x_{1}-a y_{1}, x_{2}, y_{2}\right)=D\left(x_{1}, a y_{1}, x_{2}, y_{2}\right)=D(a) \text { since } D\left(x_{1} y_{1}\right) \leqslant D\left(x_{2}, y_{2}\right)
\end{aligned}
$$

## Forster's Theorem

We say that a sequence $s_{1}, \ldots, s_{l}$ of elements of a commutative ring $R$ is unimodular iff $D\left(s_{1}, \ldots, s_{l}\right)=1$ iff $R=<s_{1}, \ldots, s_{l}>$

If $M$ is a matrix over $R$ we let $\Delta_{n}(M)$ be the ideal generated by all the $n \times n$ minors of $M$

Theorem: Let $M$ be a matrix over a commutative ring $R$. If $\Delta_{n}(M)=1$ and $\operatorname{Kdim} R<n$ then there exists an unimodular combination of the column vectors of $M$

This is a non Noetherian version of Forster's 1964 Theorem

## Forster's Theorem

We get a first-order (constructive) proof.
It can be interpreted as an algorithm which produces the unimodular combination.

The motivation for this Theorem comes from differential geometry
If we have a vector bundle over a space of dimension $d$ and all the fibers are of dimension $r$ then we can find $d+r$ generators for the module of global sections

## Forster's Theorem

The proof relies on the following consequence of Cramer formulae
Proposition: If $P$ is a $n \times n$ matrix of determinant $\delta$ and of adjoint matrix $\tilde{P}$ then we have $D(\delta X-\tilde{P} Y) \leqslant D(P X-Y)$ for arbitrary column vectors $X, Y$ in $R^{n \times 1}$

Corollary: If $P P$ is a $n \times n$ matrix of determinant $\delta$ and $X, \tilde{P} Y$ are complementary then $D(\delta) \leqslant D(P(\delta X)-Y)$

## Serre's Spliting-Off Theorem

This is the special case where the matrix is idempotent
The existence of a unimodular combination of the column in this case has the following geometrical intuition.

We have countinuous family of vector spaces over a base space. If the dimension of each fibers of a fibre bundle is $>$ the dimension of the base space, one can find a non vanishing section

This is not the case in general: Moebius strip, tangent bundle of $S^{2}$
Vector bundles are represented as finitely generated projective modules

## Elimination of noetherian hypotheses

Kronecker's Theorem, Forster's Theorem were first proved with the hypothesis that the ring $R$ is noetherian

The fact that we can eliminate this hypothesis is remarkable
An example of a first-order statement for which we cannot eliminate this hypothesis is the Regular Element Theorem which says that if $\left.I=<a_{1}, \ldots, a_{n}\right\rangle$ is regular (that is $u I=0$ implies $u=0$ ) then we can find a regular element in $I$.

