# **Krull Dimension**

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### Zariski spectrum

Any element of the Zariski lattice is of the form  $D(a_1, \ldots, a_n) = D(a_1) \vee \cdots \vee D(a_n)$ . We have seen that D(a, b) = D(a + b) if D(ab) = 0

In general we cannot write  $D(a_1, \ldots, a_n)$  as D(a) for one element a

We can ask: what is the least number m such that any element of Zar(R) can be written on the form  $D(a_1, \ldots, a_m)$ . An answer is given by the following version of *Kronecker's Theorem*: this holds if Kdim R < m

## Krull dimension of a ring

The *Krull dimension* of a ring is defined to be the maximal length of proper chain of prime ideals.

In fact, one can give a purely algebraic definition of the Krull dimension of a ring

Inductive definition of dimension of spectral spaces/distributive lattice: Kdim  $X \leq n$  iff for any compact open U we have Kdim Bd(U) < n (cf. Menger-Urysohn definition of dimension)

To be zero-dimensional is to be a Boolean lattice

### Krull dimension of a lattice

If L is a lattice, we say that  $u_1, \ldots, u_n$  and  $v_1, \ldots, v_n$  are (n-)complementary iff

$$u_1 \vee v_1 = 1, \ u_1 \wedge v_1 \leqslant u_2 \vee v_2, \dots, u_{n-1} \wedge v_{n-1} \leqslant u_n \vee v_n, \ u_n \wedge v_n = 0$$

For n = 1: we get that  $u_1$  and  $v_1$  are complement

**Proposition**: Kdim L < n iff any *n*-sequence of elements has a complementary sequence

# Krull dimension of a lattice

- What is important here is the logical complexity
- Distributive lattice: equational theory
- The notion of complementary sequence is a (first-order) coherent notion

#### **Complementary sequence**

If  $a_1,a_2$  and  $b_1,a_2$  have a complementary sequence then so has  $a_1 \vee b_1,a_2$  and  $a_1 \wedge b_1,a_2$ 

If  $a_1,a_2$  and  $a_1,b_2$  have a complementary sequence then so has  $a_1,a_2 \lor b_2$  and  $a_1,a_2 \land b_2$ 

In this way to ensure the existence of complementary sequence it is enough to look only at elements in a generating subset of the lattice

### Krull dimension of a ring

Kdim R < n is defined as Kdim (Zar(R)) < n

**Proposition**: Kdim R < n iff for any sequence  $a_1, \ldots, a_n$  in R there exists a sequence  $b_1, \ldots, b_n$  in R such that, in Zar(R), we have

$$D(a_1, b_1) = 1, \ D(a_1b_1) \leq D(a_2, b_2), \dots, D(a_{n-1}b_{n-1}) \leq D(a_n, b_n), \ D(a_nb_n) = 0$$

This is a *first-order* condition in the multi-sorted language of rings and lattices

### **Example: Kronecker's theorem**

Kronecker in section 10 of

Grundzüge einer arithmetischen Theorie der algebraischen Grössen. J. reine angew. Math. 92, 1-123 (1882)

proves a theorem which is now stated in the following way

An algebraic variety in  $\mathbb{C}^n$  is the intersection of n+1 hypersurfaces

**Theorem:** If Kdim R < n then for any  $b_0, b_1, \ldots, b_n$  there exist  $a_1, \ldots, a_n$  such that  $D(b_0, \ldots, b_n) = D(a_1, \ldots, a_n)$ 

This is a (non Noetherian) generalisation of Kronecker's Theorem

For each fixed n this is a first-order tautology. So, by the completeness Theorem for first-order logic, it has a first-order proof

It says that if Kdim R < n then we can write any elements of the Zariski lattice on the form  $D(a_1, \ldots, a_n)$ 

In particular if R is a polynomial ring  $k[X_1, \ldots, X_m]$  with m < n then this says that given n + 1 polynomials we can find n polynomials that have the same set of zeros in an arbitrary algebraic closure of k

This concrete proof/algorithm, is *extracted* from R. Heitmann "*Generating* non-Noetherian modules efficiently" Michigan Math. J. 31 (1984), 167-180

Though seeemingly unfeasible (use of prime ideals, topological arguments on the Zariski spectrum) this paper contains implicitely a clever and simple algorithm which can be instantiated for polynomial rings

Kronecker's Theorem is direct from the existence of complementary sequence

**Lemma:** If X, Y are complementary sequence then for any element a we have D(a, X) = D(X - aY)

Since we have D(a,X-aY)=D(a,X) it is enough to show  $D(a)\leqslant D(X-aY)$ 

 $D(x_1 - ay_1, x_2 - ay_2) = D(x_1 - ay_1, x_2, ay_2)$  since  $D(x_2y_2) = 0$ 

 $D(x_1 - ay_1, x_2, y_2) = D(x_1, ay_1, x_2, y_2) = D(a)$  since  $D(x_1y_1) \leq D(x_2, y_2)$ 

#### **Forster's Theorem**

We say that a sequence  $s_1, \ldots, s_l$  of elements of a commutative ring R is unimodular iff  $D(s_1, \ldots, s_l) = 1$  iff  $R = \langle s_1, \ldots, s_l \rangle$ 

If M is a matrix over R we let  $\Delta_n(M)$  be the ideal generated by all the  $n\times n$  minors of M

**Theorem:** Let M be a matrix over a commutative ring R. If  $\Delta_n(M) = 1$  and Kdim R < n then there exists an unimodular combination of the column vectors of M

This is a non Noetherian version of Forster's 1964 Theorem

### **Forster's Theorem**

We get a first-order (constructive) proof.

It can be interpreted as an algorithm which produces the unimodular combination.

The motivation for this Theorem comes from differential geometry

If we have a vector bundle over a space of dimension d and all the fibers are of dimension r then we can find d + r generators for the module of global sections

### **Forster's Theorem**

The proof relies on the following consequence of Cramer formulae

**Proposition:** If P is a  $n \times n$  matrix of determinant  $\delta$  and of adjoint matrix  $\tilde{P}$  then we have  $D(\delta X - \tilde{P}Y) \leq D(PX - Y)$  for arbitrary column vectors X, Y in  $\mathbb{R}^{n \times 1}$ 

**Corollary:** If P P is a  $n \times n$  matrix of determinant  $\delta$  and  $X, \tilde{P}Y$  are complementary then  $D(\delta) \leq D(P(\delta X) - Y)$ 

# Serre's Spliting-Off Theorem

This is the special case where the matrix is idempotent

The existence of a unimodular combination of the column in this case has the following geometrical intuition.

We have countinuous family of vector spaces over a base space. If the dimension of each fibers of a fibre bundle is > the dimension of the base space, one can find a non vanishing section

This is not the case in general: Moebius strip, tangent bundle of  $S^2$ 

Vector bundles are represented as finitely generated projective modules

### Elimination of noetherian hypotheses

Kronecker's Theorem, Forster's Theorem were first proved with the hypothesis that the ring R is noetherian

The fact that we can eliminate this hypothesis is remarkable

An example of a first-order statement for which we *cannot* eliminate this hypothesis is the Regular Element Theorem which says that if  $I = \langle a_1, \ldots, a_n \rangle$  is regular (that is uI = 0 implies u = 0) then we can find a regular element in I.